

UNITED STATES DISTRICT COURT FOR THE  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

NUHEARA IP PTY LTD and  
NUHEARA LIMITED,

## Plaintiffs and Counterclaim-Defendants,

V.

ANKER INNOVATIONS LIMITED,  
FANTASIA TRADING LLC d/b/a  
ANKERDIRECT, and POWER  
MOBILE LIFE, LLC,

## Defendants and Counterclaim- Plaintiffs.

CASE NO. 2:20-cv-01161-BJR

**AGREEMENT REGARDING  
DISCOVERY OF  
ELECTRONICALLY STORED  
INFORMATION AND  
ORDER**

The parties hereby stipulate to the following provisions regarding the discovery of electronically stored information (“ESI”) in this matter:

## A. General Principles

1. An attorney's zealous representation of a client is not compromised by conducting discovery in a cooperative manner. The failure of counsel or the parties to litigation to cooperate in facilitating and reasonably limiting discovery requests and responses raises litigation costs and contributes to the risk of sanctions.

1       2.     As provided in LCR 26(f), the proportionality standard set forth in Fed. R. Civ. P.  
2 26(b)(1) must be applied in each case when formulating a discovery plan. To further the  
3 application of the proportionality standard in discovery, requests for production of ESI and related  
4 responses should be reasonably targeted, clear, and as specific as possible.

5 **B.     ESI Disclosures**

6       No later than May 10, 2021, each party shall separately disclose:

7       1.     Custodians. Three to five custodians for each side that are most likely to have  
8 discoverable ESI in their possession, custody, or control. The custodians shall be identified by  
9 name, title, connection to the instant litigation, and the type of the information under the  
10 custodian's control. If a party, after conducting a good faith investigation, discovers that the party  
11 has fewer than three custodians that are likely to have discoverable ESI in their possession,  
12 custody, or control, or that the party must disclose more than five custodians to cover all relevant  
13 topics, the parties shall meet and confer to agree on a smaller or larger number of custodians, as  
14 the case requires.

15       2.     Non-custodial Data Sources. A list of non-custodial data sources within the  
16 party's possession, custody, or control (e.g., shared drives, servers), if any, likely to contain  
17 discoverable ESI.

18       3.     Third-Party Data Sources. A list of third-party data sources, if any, likely to  
19 contain discoverable ESI (e.g., third-party email providers, mobile device providers, cloud  
20 storage) and, for each such source, the extent to which a party is (or is not) able to preserve  
21 information stored in the third-party data source.

22       4.     Inaccessible Data. A list of data sources, if any, likely to contain discoverable ESI  
23 (by type, date, custodian, electronic system or other criteria sufficient to specifically identify the

1 data source) and within the party's possession, custody, or control that a party asserts is not  
2 reasonably accessible under Fed. R. Civ. P. 26(b)(2)(B).

3 5. Foreign data privacy laws. Nothing in this Order is intended to prevent either party  
4 from complying with the requirements of a foreign country's data privacy laws, *e.g.*, the European  
5 Union's General Data Protection Regulation (GDPR) (EU) 2016/679. One or more of the parties  
6 may have information located outside the United States that may be subject to foreign data  
7 protection laws or government/state secrecy laws, including but not limited to, the Law of the  
8 People's Republic of China on Guarding State Secrets (adopted in 1988, revised 2010), the Law  
9 of the People's Republic of China on Accounting Archives Management Measures law, the Anti-  
10 Unfair Competition Law of the People's Republic of China, and/or the General Data Protection  
11 Regulation (2016/679) of the European Union. If a party determines in good faith that  
12 information subject to a discovery request is reportedly subject to any such laws and must be  
13 withheld, the party shall promptly notify all other parties in writing and the parties shall then meet  
14 and confer to work cooperatively toward resolving any ESI or other discovery request in a manner  
15 that complies with any relevant data privacy laws.

16 **C. ESI Discovery Procedures**

17 1. On-site inspection of electronic media. Such an inspection shall not be required  
18 absent a demonstration by the requesting party of specific need and good cause or by agreement  
19 of the parties.

20 2. Schedule for search methodology and production. The parties shall follow the  
21 below schedule to (a) attempt to reach agreement on file type and date restrictions, data sources  
22 (including custodians), and other appropriate computer- or technology-aided methodologies,  
23 before any such effort is undertaken; and (b) facilitate the production of ESI materials and the

1 exchange of privilege logs in advance of the deadlines for expert disclosures and the close of  
 2 discovery.

3	5/10/21	Parties make disclosures per Section C.2.a.i
4	5/19/21	Parties propose revisions to search terms and queries per Section C.2.a.ii- iii
5	5/20 to 5/30/21	Parties confer on search terms and queries
6	5/31/21	Parties finalize search terms and queries per Section C.2.a.iv
7	6/1 to 8/30/21	Parties commence and continue rolling productions of ESI
8	8/31/21	Parties' ESI productions in response to the first sets of requests for production are substantially complete
9	9/14/21	Parties exchange privilege logs for materials produced thus far

12  
 13 The parties shall continue to cooperate in revising the appropriateness of the search  
 14 methodology.

15 a. Prior to running searches:

16 i. By May 10, 2021, the producing party shall disclose the data  
 17 sources (including custodians), search terms and queries, any file type and date restrictions, and  
 18 any other methodology that it proposes to use to locate ESI likely to contain responsive and  
 19 discoverable information. The producing party may provide unique hit counts for each search  
 20 query. The list of proposed search terms and queries shall take into account any requests for  
 21 production thus served upon the party, as well as the party's own knowledge of information likely  
 22 to be relevant to the proceeding. If the data sources are likely to contain information in a language  
 23 other than English, the party shall provide the English search terms and their non-English

1 translations to run in the non-English information sources. The translations should take into  
2 account for variations that may result from translation.

1 information (e.g., targeted collection). To the extent the parties are not able to reach agreement  
2 on a particular search term or query, the requesting party may seek relief from the Court by filing  
3 a motion on that particular search term or query. That motion shall not affect the producing  
4 party's obligation to begin reviewing and producing ESI materials that result from those search  
5 terms and hits upon which the parties did reach agreement.

6 b. By August 31, 2021, the parties' ESI productions shall be substantially  
7 complete.

8 c. By September 21, 2020, the parties shall meet and confer to discuss  
9 whether additional search terms or queries should be run and a timeline for producing the hits  
10 from same. The immediately preceding section (Section C(2)(a)(iii)) applies.

11 d. Section C.2 shall not prevent any party from requesting that additional  
12 responsive materials be produced, including as additional discovery requests are served, and in  
13 advance of expert disclosure deadlines. Regarding those requests for production that follow the  
14 first sets, the party responding to the requests for production shall be responsible for identifying  
15 those data sources and search methodologies necessary to timely respond to the requests per Fed.  
16 R. Civ. P. 26 and 34.

17 3. Format.

18 a. Except for the exceptions below, ESI will be produced in single-page  
19 TIFFs with load files for e-discovery software that includes metadata fields identifying natural  
20 document breaks and also includes companion OCR and/or extracted text files, per the below.

21 b. Unless otherwise agreed to by the parties, files that are not easily converted  
22 to image format, such as spreadsheet, database, audio, video, and drawing files, will be produced  
23 in native format. Native files shall be produced with a link in the NATIVEPATH field, along

1 with extracted text when extracted text is available, and all applicable metadata fields, per the  
2 below. A Bates numbered TIFF placeholder indicating that the document was provided in native  
3 format much accompany every native file.

4                   c.        Each document image file shall be named with a unique number (Bates  
5 Number). File names should not be more than twenty characters long or contain spaces. When a  
6 text-searchable image file is produced, the producing party must preserve the integrity of the  
7 underlying ESI, *i.e.*, the original formatting, the metadata (as noted below) and, where applicable,  
8 the revision history.

19 e. For all files that originated in electronic form, the full text of each  
20 electronic document shall be extracted (“Extracted Text”) and produced in a text file. When no  
21 extracted text is available (e.g., because the document was scanned from hard copy), OCR text  
22 shall be produced (“OCR Text”) unless the production of OCR Text is not technologically  
23 feasible. The Extracted Text or OCR Text shall be provided in one text file per document and

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1 searchable ASCII text format (or Unicode text format if the text is in a foreign language), and the  
 2 text file shall be named with a unique Bates Number (e.g., the unique Bates Number of the first  
 3 page of the corresponding production version of the document followed by its file extension).

4       4.     De-duplication. The parties shall use industry standards to de-duplicate their ESI  
 5 production based on MD5HASH values across custodial and non-custodial data sources after  
 6 disclosure to the requesting party, and the duplicate custodian information removed during the  
 7 de-duplication process tracked in a duplicate/other custodian field in the database load file.  
 8 Notwithstanding the forgoing, attachments to emails shall not be eliminated from the parent email  
 9 because they are duplicative of a document or email attachment elsewhere in the production.

10       5.     Email Threading. The parties may use analytics technology to identify email  
 11 threads and need only produce the unique most inclusive copy and related family members and  
 12 may exclude lesser inclusive copies. Upon reasonable request, the producing party will produce  
 13 a less inclusive copy.

14       6.     Metadata fields. The parties agree the following metadata fields shall be produced  
 15 to the extent the datum exists and is reasonably accessible and non-privileged. Except for Fields  
 16 1-5 below, there is no obligation to create or manually code metadata fields that are not  
 17 automatically generated by the processing of the ESI or for data that do not exist as part of the  
 18 original metadata of the file. The parties agree that they shall report time in Pacific Standard  
 19 Time, to the extent feasible, and shall denote the time zone otherwise.

Field Order	Field Name	Description	Example
1	BEGDOC	The beginning bates number of this document	ABC00000001
2	ENDDOC	The last bates number of this document	ABC00000010

Field Order	Field Name	Description	Example
3	BEGATTACH	The beginning bates number of the document family	ABC00000001
4	ENDATTACH	The last bates number of the entire document family	ABC00000027
5	CUSTODIAN(S)	Custodian to whom the data is associated and any duplicate custodians; or the storage location if no custodian	John Doe
6	DOCTYPE	Type of document	Email, Excel, PDF
7	FROM	Author of the email message	<a href="mailto:Mary.Smith@hotmail.com">Mary.Smith@hotmail.com</a>
8	TO	Main recipient(s) of the email message, by email address.	<a href="mailto:JohnDoe@gmail.com">JohnDoe@gmail.com</a>
9	CC	Recipient(s) of "Carbon Copies" of the email message.	<a href="mailto:Michael123@yahoo.com">Michael123@yahoo.com</a>
10	BCC	Recipient(s) of "Blind Carbon Copies" of the e-mail message.	<a href="mailto:helpdesk@omnivere.com">helpdesk@omnivere.com</a>
11	SUBJECT	Subject of the email message.	Fw: Urgent
12	DATESENT	Date when the email was sent. The date is formatted in US format: mm/dd/yyyy	02/24/2007
13	TIMESENT	Time when the email was sent. The time is formatted in US format: hh:mm:ss	11:20:30
14	TIMEMODIFIED	Last time the document was modified.	11:20:30
15	FILEEXT	File extension of native file.	.msg, .pst, .xls
16	AUTHOR	Author field from metadata of a native file.	Msmith
17	DATECREATED	Date when the file was created. The date is formatted in US format: mm/dd/yyyy	02/24/2007
18	DATEMODIFIED	The last date on which the document was modified	02/24/2007
19	CONFIDENTIALITY	Confidentiality designation	Confidential; Confidential – Attorneys Eyes Only
20	FILESIZE	Size of native file, in bytes	2546683
21	PAGECOUNT	Number of pages	3
22	FILENAME	Original filename of native file. Contains subject of e-mail message for e-mail records.	Real estate holdings.xlsx

Field Order	Field Name	Description	Example
23	NATIVEFILEPATH	Path to the native file for the document.	Natives\EFXAA00000001.xlsx
24	REDACTIONFLAG	Note when document contains redactions	Yes/No
25	REDACTIONBASIS	The basis for the redaction including Non-responsive business information (NRBI) or privilege	NRBI or Privilege
26	MD5HASH	Unique identifier, similar to a fingerprint, extracted from all files.	D564668821C34200FF3E32C9BFDC80
27	TEXTPATH	Path to the extracted text for the document, for mapping	Text\EFXAA00000001.txt
28	PRODVOLUME	Production Volume	

The list of metadata type is intended to be flexible and may be changed by agreement of the parties, particularly in light of advances and changes in technology, vendor, and business practices.

7. Hard-Copy Documents. Hard-copy documents will be scanned using Optical Character Recognition technology and searchable ASCII text files will be produced (or Unicode text format if the text is in a foreign language), unless the producing party can show that the cost would outweigh the usefulness of scanning (for example, when the condition of the paper is not conducive to scanning and will not result in accurate or reasonably useable/searchable ESI). Each file will be named with a unique Bates Number (*e.g.*, the unique Bates Number of the first page of the corresponding production version of the document followed by its file extension), and will include a cross-reference file that sets forth the custodian or custodian/location associated with each produced document, to the extent applicable.

1     **D.     Preservation of ESI**

2           The parties acknowledge that they have a common law obligation, as expressed in Fed. R. Civ. P. 37(e), to take reasonable and proportional steps to preserve discoverable information in the party's possession, custody, or control. With respect to preservation of ESI, the parties agree as follows:

6           1.       Absent a showing of good cause by the requesting party, the parties shall not be required to modify the procedures used by them in the ordinary course of business to back-up and archive data; provided, however, that the parties shall preserve all discoverable ESI in their possession, custody, or control.

10          2.       The parties will supplement their disclosures in accordance with Fed. R. Civ. P. 26(e) with discoverable ESI responsive to a particular discovery request or mandatory disclosure where that data is created after a disclosure or response is made (unless excluded under Sections (D)(3) or (E)(1)-(2)).

14          3.       Absent a showing of good cause by the requesting party, the following categories of ESI need not be preserved:

- 16           a.       Deleted, slack, fragmented, or other data only accessible by forensics.
- 17           b.       Random access memory (RAM), temporary files, or other ephemeral data that are difficult to preserve without disabling the operating system.
- 18           c.       On-line access data such as temporary internet files, history, cache, cookies, and the like.
- 19           d.       Data in metadata fields that are frequently updated automatically, such as last-opened dates (see also Section (E)(5)).
- 20           e.       Back-up data that are duplicative of data that are more accessible elsewhere.
- 21           f.       Server, system or network logs.
- 22           g.       Data remaining from systems no longer in use that is unintelligible on the systems in use.
- 23           h.       Electronic data (*e.g.*, email, calendars, contact data, and notes) sent to or from mobile devices (*e.g.*, iPhone, iPad, Android devices), provided that

1 a copy of all such electronic data is automatically saved in real time  
2 elsewhere (such as on a server, laptop, desktop computer, or “cloud”  
storage).

3 **E. Privilege**

4 1. A producing party shall create a privilege log of all documents fully withheld from  
5 production on the basis of a privilege or protection, unless otherwise agreed or excepted by this  
6 Agreement and Order. Privilege logs shall include a unique identification number for each  
7 document, the basis for the claim (attorney-client privileged or work-product protection), and a  
8 description of the privilege or protection. Each entry shall include: all custodians; author/recipient  
9 or to/from/cc/bcc names; the file name, subject matter or title; and date created, sent, and/or  
10 modified. The parties may substitute a description of the file name, subject matter or title if those  
11 fields would reveal privileged information. Should the available metadata provide insufficient  
12 information for the purpose of evaluating the privilege claim asserted, the producing party shall  
13 include such additional information as required by the Federal Rules of Civil Procedure. Privilege  
14 logs for productions made on or before August 31, 2021 will be produced to all other parties no  
15 later than September 14, 2021. For productions made after August 31, 2021, a privilege log will  
16 be produced no later than 14 calendar days later.

17 2. Redactions need not be logged so long as the basis for the redaction is clear on the  
18 redacted document.

19 3. With respect to privileged or work-product information generated after the filing  
20 of the complaint, parties are not required to include any such information in privilege logs.

21 4. Activities undertaken in compliance with the duty to preserve information are  
22 protected from disclosure and discovery under Fed. R. Civ. P. 26(b)(3)(A) and (B).

1       5. Pursuant to Fed. R. Evid. 502(d), the production of any documents in this  
2 proceeding shall not, for the purposes of this proceeding or any other federal or state proceeding,  
3 constitute a waiver by the producing party of any privilege applicable to those documents,  
4 including the attorney-client privilege, attorney work-product protection, or any other privilege  
5 or protection recognized by law. Information produced in discovery that is protected as privileged  
6 or work product shall be immediately returned to the producing party, and its production shall not  
7 constitute a waiver of such protection.

8

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10 DATED: May 4, 2021

By: *s/ Lauren B. Rainwater*

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1 DATED: May 4, 2021

By: *s/ Melanie D. Phillips*

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*Attorneys for Defendants, ANKER INNOVATIONS  
LIMITED, FANTASIA TRADING LLC D/B/A  
ANKERDIRECT, AND POWER MOBILE LIFE, LLC*

**ORDER**

Based on the foregoing, IT IS SO ORDERED.

DATED: May 4, 2021.



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The Honorable Barbara J. Rothstein  
UNITED STATES DISTRICT JUDGE